Booking Rules for Entity4EU

These booking rules are essential guidelines that must be strictly followed when utilizing this entity. Failure to adhere to these rules could result in a loss of trading access. Any breach of these rules must be promptly reported and escalated to compliance, the trading desk, and front office supervision to ensure that corrective actions are taken promptly. It is crucial to uphold these rules to maintain the integrity and security of our trading operations.

**Authorized Traders**

Traders are authorized for trading in Entity4EU if they have AP status or if they are physically located in the EU.

**Approved Counterparties**

Entity4EU can only trade with counterparties domiciled in the EU, or with any other Entity.

**Approved Currencies**

Currencies approved for trading are in the below.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  | **Currency** | **Currency** | **Approval** | **Restricted Currency?** | **Comment** |
| 1 | AED | United Arab Emirates Dirham | Approved |  |  |
| 2 | AUD | Australian Dollar | Approved |  |  |
| 3 | BGN | Bulgarian Lev | Approved |  |  |
| 4 | BRL | Brazilian Real | Approved | Yes |  |
| 5 | CAD | Canadian Dollar | Approved |  |  |
| 6 | CHF | Swiss Franc | Approved |  |  |
| 7 | CNH | Chinese Yuan Offshore | Approved |  |  |
| 8 | CNY | Chinese Yuan | Approved | Yes | Non-Deliverable Only |
| 9 | CZK | Czech Koruna | Approved |  |  |
| 10 | DKK | Danish Krone | Approved |  |  |
| 11 | EGP | Egyptian Pound | Approved |  |  |
| 12 | EUR | Euro | Approved |  |  |
| 13 | GBP | British Pound | Approved |  |  |
| 14 | GHS | Ghanaian Cedi | Approved |  |  |
| 15 | HKD | Hong Kong Dollar | Approved |  |  |
| 16 | HUF | Hungarian Forint | Approved |  |  |
| 17 | IDR | Indonesian Rupiah | Approved | Yes | Non-Deliverable Only |
| 18 | ILS | Israeli Shekel | Approved |  |  |
| 19 | INR | Indian Rupee | Approved | Yes | Non-Deliverable Only |
| 20 | JPY | Japanese Yen | Approved |  |  |
| 21 | KRW | South Korean Won | Approved | Yes | Non-Deliverable Only |
| 22 | KWD | Kuwaiti Dinar | Approved |  |  |
| 23 | KZT | Kazakhstani Tenge | Approved |  |  |
| 24 | MAD | Moroccan Dirham | Approved |  |  |
| 25 | MXN | Mexican Peso | Approved |  |  |
| 26 | MYR | Malaysian Ringgit | Not Approved | Yes |  |
| 27 | NOK | Norwegian Krone | Approved |  |  |
| 28 | NZD | New Zealand Dollar | Approved |  |  |
| 29 | PHP | Philippine Peso | Approved | Yes | Non-Deliverable Only |
| 30 | PLN | Polish Zloty | Approved |  |  |
| 31 | QAR | Qatari Riyal | Approved |  |  |
| 32 | RON | Romanian Leu | Approved |  |  |
| 33 | RUB | Russian Ruble | Approved |  | Non-Deliverable Only |
| 34 | SAR | Saudi Riyal | Approved |  |  |
| 35 | SEK | Swedish Krona | Approved |  |  |
| 36 | SGD | Singapore Dollar | Approved |  |  |
| 37 | THB | Thai Baht | Approved | Yes | Non-Deliverable Only |
| 38 | TRY | Turkish Lira | Approved |  |  |
| 39 | TWD | New Taiwan Dollar | Approved | Yes | Non-Deliverable Only |
| 40 | UGX | Ugandan Shilling | Approved |  |  |
| 41 | USD | US Dollar | Approved |  |  |
| 42 | VND | Vietnamese Dong | Approved | Yes |  |
| 43 | XAG | Silver | Approved |  |  |
| 44 | XAU | Gold | Approved |  |  |
| 45 | ZAR | South African Rand | Approved |  |  |
| 46 | ZMW | Zambian Kwacha | Approved |  |  |

**Approved Products**

Products approved for trading are below.

|  |  |  |  |
| --- | --- | --- | --- |
| **Credit Products** | | **Approved for Trading?** | **Comment** |
|  | Index | Approved |  |
|  | OTC Exotic | Approved |  |
|  | Single Name | Approved |  |
|  | Swaption | Approved |  |
| **Rates** |  |  |  |
|  | IRS | Approved |  |
|  | Cross Currency | Approved |  |
|  | FRA | Approved |  |
|  | Bond Option | Approved |  |
|  | OTC Exotic | Approved |  |
|  | Swaption | Approved |  |
|  | Total Return Swap | Approved |  |
| **FX** |  |  |  |
|  | Spot | Approved |  |
|  | Forward | Approved |  |
|  | Swap | Approved |  |
|  | NDF | Approved |  |
|  | NDO | Approved |  |
|  | Vanilla | Approved |  |
|  | Asian Option | Approved |  |
|  | Simple Exotic | Approved |  |
|  | Complex Exotic | Approved |  |
| **Commodity** | |  |  |
|  | Physical Delivered | Approved |  |
| **Equity** |  |  |  |
|  | Cash | Approved |  |
|  | OTC Derivatives | Approved |  |
| **Listed Futures and Options** | |  |  |
|  | Futures | Approved |  |
|  | Options | Approved |  |
| **Loan** |  |  |  |
|  | Loans | Approved |  |